

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

#3



Inventor(s): Keith Alexander Harrison et al.

Confirmation No.:

Application No.: TBA

Examiner: TBA

Filing Date: July 30, 2001

Group Art Unit: TBA

Title: IMPROVEMENTS RELATING TO DOCUMENT TRANSMISSION TECHNIQUES II

COMMISSIONER FOR PATENTS

Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2), and  
☐ a \$180.00 petition fee set forth in 37 CFR 1.17(i).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation together with copies, of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL 789318560US**

Date of Deposit July 30, 2001

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C. 20231.

By

Typed Name: Julie Campbell

Respectfully submitted,

Keith Alexander Harrison et al.

By

Scott A. Horstemeyer  
Scott A. Horstemeyer

Attorney/Agent for Applicant(s)  
Reg. No. **34,183**

Date: 7-30-01

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

30006788-2

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GROUP

TBA

31017 U.S. PRO  
09/918326

07/30/01

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	1A	5,222,136	06/22/93	Rasmussen et al.	380	9
	1B	5,465,229	11/07/95	Bechtolsheim et al.	365	52
	1C	5,671,285	09/23/97	New man	380	30
	1D	6,079,018	06/20/00	Hardy et al.	713	170
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	WO00/62242	10/19/00	Popov	G06K	9/20	x	
	1M	WO99/33221	07/01/99	Koninkluka Philips Elec	H04L	9/32	x	
	1N	WO97/12460	04/03/97	Document Authentication	H04L	9/00	x	
	1O	WO96/02993	02/01/96	Bankers Trust Company	H04L	9/32	x	
	1P	JP7046234A	02/14/95	CSK Corp	G09C	1/00		x

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

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	1I					
	1J					
	1K					

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	EP0887997A2	12/30/98	France Telecom	H04N	1/44		x
	1M	EP0798892A2	10/01/97	IBM	H04L	9/32	x	
	1N	EP0671830A2	09/13/95	AT&T Corp.	H04L	9/32	x	
	1O	EP0609143A1	08/03/94	France Telecom	H04N	1/44		x
	1P	EP0542703A1	05/19/93	NYBO Seal System AB	H04N	1/44	x	

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

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	1I					
	1J					
	1K					

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		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
	1L	EP0538216A1	04/21/93	Televerket	H04M	1/68	x	
	1M	EP0386867B1	10/26/94	Fischer	H04L	9/32	x	
	1N	GB2336512A	10/20/99	Intel Corporation	H04L	9/32	x	
	1O							
	1P							

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

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